

Receipt

#20

X. Galt

PATENT 8/28/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Tetsuya ATSUMI et al.  
Serial No. : 09/193,928  
File Date : November 17, 1998  
Title : SHAFT FOR LIGHT-WEIGHT GOLF CLUBS  
Art Unit : 3711 Examiner:  
Docket No. : M2009-9

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Washington D.C. 20231 on:

Date : Aug 2, 2001  
By : Margaret L. Goldstein  
Signature : M. Goldstein

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Office of Initial Patent Examination  
Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

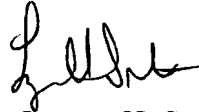
On May 23, 2001, the US PTO issued a Corrected Filing Receipt. Please note that the residence for the 1<sup>st</sup> inventor is spelled incorrectly. The name of the town should be spelled "Toyohashi", not Toyoshashi. A copy of the declaration is enclosed for your reference.

Please issue a Corrected Filing Receipt.

RECEIVED  
AUG 17 2001  
TECHNOLOGY CENTER R3700

We believe no fee is payable for this correction. However, the Commissioner is authorized to charge any fee to our Deposit Account No. 13-4550.

Respectfully Submitted,



Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
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Mount Vernon, New York 10550  
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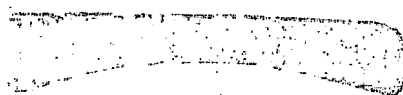


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/193,928         | 11/17/1998  | 3711         | 890           | M2009-9         | 4        | 20         | 3          |

MORRISON LAW FIRM  
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MOUNT VERNON, NY 10550



MAY 29 2001

MORRISON LAW FIRM

CONFIRMATION NO. 2606

CORRECTED FILING RECEIPT



\*OC000000006106334\*

Date Mailed: 05/23/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

TETSUYA ATSUMI, TOYOSHASHI CITY, JAPAN;  
IKUO TAKIGUCHI, TOYOHASHI CITY, JAPAN;  
TSUTOMU IBUKI, TOYOHASHI CITY, JAPAN;  
KATSUMI ANAI, TOKYO, JAPAN;

S/B TOYOHASHI

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 9-314867 11/17/1997  
JAPAN 10-099066 04/10/1998

CPA filed on: 12/29/2000

Projected Publication Date: 07/12/2001

Non-Publication Request: No

Early Publication Request: No

## Title

SHAFT FOR LIGHT-WEIGHT GOLF CLUBS

RECEIVED  
AUG 17 2001  
TECHNOLOGY CENTER R3700

**Preliminary Class**

**473**

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**Data entry by : RIMANDO, EMELITA**

**Team : OIPE**

**Date: 05/23/2001**



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## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Docket No.: M2009-9

## Japanese Language Declaration

日本語宣言書



下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIGHT-WEIGHT SHAFT FOR GOLF CLUBS

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 二月\_\_日に提出され、米国出願番号または特許協定条約国際出願番号を\_\_\_\_とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☒ was filed on November 17, 1998  
as United States Application Number or  
PCT International Application Number  
09/193,928 and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

## Japanese Language Declaration

(日本語宣言書)

M2009-9

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している。本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

### Prior Foreign Application(s)

外国での先行出願  
9-314867

(Number)

(番号)

10-099066

(Number)

(番号)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米国外特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国外特許出願に記載された権利、又は米国外を指定している特許協力条約365条(c)項に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外特許出願に開示されていない限り、その先行米国外特許出願提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

17/11/1997

(Day/Month/Year Filed)

(出願年月日)

10/4/1998

(Day/Month/Year Filed)

(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration  
(日本語宣言書)

Docket No.: M2009-9

委任状: 私は下記の発明者として、本出願に関する一切の  
手続きを米特許商標局に対して遂行する弁理士または代理人  
として、下記の者を指名いたします。(弁理士、または代理  
人の氏名及び登録番号を明記のこと)

Thomas R. Morrison (Reg. No. 27,361); Roger S. Thompson (Reg. No. 29,594); George J. Brandt, Jr.  
(Reg. No. 22,021); Brett A. Hertzberg (Reg. No. 42,660); Brendan J. Kennedy (Reg. No. 41,890);  
Joy I. Farber (Reg. No. P-44,103)

POWER OF ATTORNEY: As a named inventor, I hereby appoint  
the following attorney(s) and/or agent(s) to prosecute this  
application and transact all business in the Patent and Trademark  
Office connected therewith (list name and registration number)

書頭送付先

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直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Thomas R. Morrison, Esq.  
(914)667-6755

|             |                                                                                                                        |
|-------------|------------------------------------------------------------------------------------------------------------------------|
| 唯一または第一発明者名 | Full name of sole or first inventor                                                                                    |
|             | Tetsuya ATSUMI                                                                                                         |
| 発明者の署名      | Inventor's signature                                                                                                   |
| 日付          | Date                                                                                                                   |
|             | <i>Tetsuya Atsumi</i> X December 28, 1998                                                                              |
| 住所          | Residence                                                                                                              |
|             | Toyohashi City, Japan                                                                                                  |
| 国籍          | Citizenship                                                                                                            |
|             | Japan                                                                                                                  |
| 私書箱         | Post Office Address                                                                                                    |
|             | c/o Toyohashi Plant<br>Mitsubishi Rayon Co., Ltd.<br>1-2, Ushikawadori 4-chome,<br>Toyohashi-shi, Aichi 440-8601 JAPAN |
| 第二共同発明者     | Full name of second joint inventor, if any                                                                             |
|             | Ikuo TAKIGUCHI                                                                                                         |
| 第二共同発明者     | Second inventor's signature                                                                                            |
| 日付          | Date                                                                                                                   |
|             | <i>Ikuo Takiguchi</i> V December 28, 1998                                                                              |
| 住所          | Residence                                                                                                              |
|             | Toyohashi City, Japan                                                                                                  |
| 国籍          | Citizenship                                                                                                            |
|             | Japan                                                                                                                  |
| 私書箱         | Post Office Address                                                                                                    |
|             | c/o Toyohashi Plant<br>Mitsubishi Rayon Co., Ltd.<br>1-2, Ushikawadori 4-chome,<br>Toyohashi-shi, Aichi 440-8601 JAPAN |

(第三以降の共同発明者についても同様に記載し、署名をす  
ること)

(Supply similar information and signature for third and subsequent  
joint inventors.)

追加発明者に対する署名の  
 ページは必要に応じ増強する

# Japanese Language Declaration (日本語宣言書)

Signature page for additional inventors  
 use as many pages as necessary

M2009-9

|             |                               |                   |
|-------------|-------------------------------|-------------------|
| 唯一または第 発明者名 | Full name of 3rd inventor     |                   |
| 発明者の署名      | Tsutomu IBUKI                 |                   |
| 日付          | Inventor's signature          | Date              |
| 住所          | Tsutomu Ibuki                 | December 28, 1998 |
| 国籍          | Residence                     |                   |
| 私書箱         | Toyohashi City, Japan         |                   |
|             | Citizenship                   |                   |
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|             | Toyohashi-shi, Aichi 440-8601 |                   |
|             | JAPAN                         |                   |

|             |                                |                   |
|-------------|--------------------------------|-------------------|
| 唯一または第 発明者名 | Full name of 4th inventor      |                   |
| 発明者の署名      | Katsumi ANAI                   |                   |
| 日付          | Inventor's signature           | Date              |
| 住所          | Katsumi Anai                   | December 29, 1998 |
| 国籍          | Residence                      |                   |
| 私書箱         | Tokyo, Japan                   |                   |
|             | Citizenship                    |                   |
|             | Japan                          |                   |
|             | Post Office Address            |                   |
|             | c/o Mitsubishi Rayon Co., Ltd. |                   |
|             | 6-41, Konan 1-chome,           |                   |
|             | Minato-ku, Tokyo 108-8506      |                   |
|             | JAPAN                          |                   |





## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 2606

|                                                                                                                                                                                                                            |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| <b>SERIAL NUMBER</b><br>09/193,928                                                                                                                                                                                         | <b>FILING DATE</b><br>11/17/1998<br><b>RULE</b>                                                                   | <b>CLASS</b><br>473              | <b>GROUP ART UNIT</b><br>3711                                                                                                                                                                                                                                                   | <b>ATTORNEY DOCKET NO.</b><br>M2009-9 |
| <b>APPLICANTS</b><br>TETSUYA ATSUMI, TOYOHASHI CITY, JAPAN;<br>IKUO TAKIGUCHI, TOYOHASHI CITY, JAPAN;<br>TSUTOMU IBUKI, TOYOHASHI CITY, JAPAN;<br>KATSUMI ANAI, TOKYO, JAPAN;                                              |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| <div style="text-align: center;"> <b>FILE COPY</b> </div> <div style="text-align: right;"> <b>RECEIVED</b><br/>           AUG 17 2001<br/>           TECHNOLOGY CENTER R3700         </div>                                |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| <b>** CONTINUING DATA *****</b>                                                                                                                                                                                            |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| <b>** FOREIGN APPLICATIONS *****</b><br>JAPAN 9-314867 11/17/1997<br>JAPAN 10-099066 04/10/1998                                                                                                                            |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                                                                                                                   | <b>STATE OR COUNTRY</b><br>JAPAN | <b>SHEETS DRAWING</b><br>4                                                                                                                                                                                                                                                      | <b>TOTAL CLAIMS</b><br>20             |
| Verified and Acknowledged<br>Examiner's Signature _____ Initials _____                                                                                                                                                     |                                                                                                                   | <b>INDEPENDENT CLAIMS</b><br>3   |                                                                                                                                                                                                                                                                                 |                                       |
| <b>ADDRESS</b><br>MORRISON LAW FIRM<br>145 NORTH FIFTH AVENUE<br>MOUNT VERNON, NY 10550                                                                                                                                    |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| <b>TITLE</b><br>SHAFT FOR LIGHT-WEIGHT GOLF CLUBS                                                                                                                                                                          |                                                                                                                   |                                  |                                                                                                                                                                                                                                                                                 |                                       |
| <b>FILING FEE RECEIVED</b><br>890                                                                                                                                                                                          | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                  | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |                                       |